

Date :

To,
Goldmine Stocks Pvt Ltd
Trading Department
Shreyas Crossing
Ahmedabad

FIT & PROPER PERSON' UNDERTAKING

I, Mr./Ms. _____, am a 'fit and proper person' as per SEBI (Intermediaries) Regulations, 2008 and confirm the following in this regard:

- (a) I have not been convicted by a Court for any offence involving moral turpitude, economic offence, securities laws or fraud;
- (b) No order for winding up has been passed against me.
- (c) I have not been declared insolvent and have been discharged;
- (d) No order, including an order of suspension, restraining, prohibiting or debarring me from dealing in securities in the capital market or from accessing the capital market has been passed by the Board or any other regulatory authority wherein a period of three years from the date of the expiry of the period specified in the order has not elapsed;
- (e) No order has been passed by the SEBI/Exchange(s) on the ground of indulging in insider trading, fraudulent and unfair trade practices or market manipulation wherein a period of three years from the date of the order has not elapsed;
- (f) I declare that I am financially sound;
- (h) There are no criminal and/or civil cases pending against me in any court of law. I have never been convicted of any offenses by the police and/or court of law.

I further declare that In the event I am found to have indulged in any manipulative, fraudulent and or unfair trade practices or to have committed a breach of the capable laws, regulations. Guidelines, byelaws, by my act or omission, whether directly on indirectly, I shall be solely liable for the consequences thereof ,including but not limited to financial losses and the penalties ,restrictions or the other action imposed or taken by appropriate authority.

Yours Truly,

Demat A/c No/Trading Code :

Place : Ahmedabad